



# **Student Services Fee Advisory Committee FY 2012-13**

Excerpt from the University of California  
FY 2013-14 Budget for Current Operations\*

UC Student Services Fee/UCR Student Services Fee  
Operating & Capital Budgets and Reserves

\* The complete Regents' Budget document is available at:  
[http://www.ucop.edu/operating-budget/\\_files/rbudget/2013-14-budget.pdf](http://www.ucop.edu/operating-budget/_files/rbudget/2013-14-budget.pdf)

# University of California, Riverside Student Services Fee Advisory Committee

<b>TABLE OF CONTENTS</b>		<b>Page</b>
<b>I.</b>	<b>THE UNIVERSITY OF CALIFORNIA FY 2013-14 BUDGET</b>	
	2013-14 Budget Request .....	1
	President’s Message .....	3
	Student Tuition and Fees .....	4
	Student Financial Aid .....	6
<b>II.</b>	<b>UNIVERSITY OF CALIFORNIA, RIVERSIDE UC STUDENT SERVICES FEE &amp; UCR STUDENT SERVICES FEE TABLES</b>	
	Table of Assumptions .....	10
	Table 1: July 1, 2012 Permanent Operating Budgets.....	11
	Table 2: FY 2011-12 Closing Fund Balances.....	13
	Table 3: UC Student Services Fee FY 2012-13 to FY 2016-17 Projected Expenditures and Revenue .....	14
	Table 4: UC Student Services Fee - Mental Health FY 2010-11 to FY 2016-17 Actual & Projected Expenditures and Revenue.....	15
	Table 5: UCR Student Services Fee FY 2012-13 to FY 2016-17 Projected Expenditures and Revenue .....	16
	Table 6: UC Student Services Fee & UCR Student Services Fee Fund Performance FY 2007-08 to FY 2011-12 .....	17
	Table 7: UC Student Services Fee & UCR Student Services Fee FY 2011-12 to FY 2016-17 Projected Operating & Capital Reserves ...	18
	Table 7a: Planned Capital Expenditures FY 2012-13 to FY 2016-17 .....	19

## KEY ELEMENTS OF THE UNIVERSITY OF CALIFORNIA 2013-14 BUDGET

The University of California's long tradition of excellence rests on three pillars: access, affordability, and quality. Through a period of unprecedented State funding reductions, the University has protected two of those pillars: access and affordability. Most states expect their public universities to offer a good education at an affordable price to those who wish to attend. California's expectation has been much higher – the investment by the State in the University of California has allowed UC to offer a top-flight education taught by world-class faculty, comparable to those at elite private universities, to all who work hard enough to qualify, irrespective of social background or economic situation. It is this access to excellence in a public setting that sets UC apart from other major research universities. Yet it is quality – the third pillar of UC's excellence – that is seriously jeopardized by the State's disinvestment in higher education.

The University's budget plan for 2013-14 reflects the University's view that it must not only stabilize funding for core operations, it must also focus on reinvesting in the quality of UC's core instruction and research programs while continuing to protect access and affordability. Building on the prospect of a multi-year funding agreement with the State, the plan seeks to stabilize UC's fiscal foundation through a combination of moderate increases in both State funding and tuition, aggressive cost reductions and efficiency improvements that leverage UC's economies of scale, and the active pursuit of alternative revenues. A stable fiscal foundation will allow UC to plan for the future; to make the long-term investments needed to secure its stature as a world-class university; and to provide its faculty, students, and employees with a more certain future.

The proposed 2013-14 plan assumes passage of the Governor's revenue-raising initiative, Proposition 30, in November 2012. Whether the initiative passes or fails, however, the University is committed to securing a path to fiscal stability and pursuing a course that sustains and enhances the quality of its academic programs. If the initiative fails, the University will face difficult choices, including the potential for an array of more extreme strategies to reduce costs and enhance alternative revenues, as well as the potential for much higher tuition and fees – choices that together could alter the very nature of the University of California.

Key elements of the 2013-14 budget plan include:

- **Moderate increases in both State support and tuition and fees.** The University proposes to meet escalating mandatory costs through its principal revenue sources. Moderate and predictable increases in both State support and tuition and fees can provide the funding needed to meet the University's basic operating needs. For 2013-14, the budget plan includes revenue associated with the State buy-out of 2012-13 tuition and fee increases (\$125.4 million), a 6% base budget adjustment (\$150.2 million), and a 6% tuition and fee increase (\$126.5 million, net of financial aid).
- **Modest enrollment growth.** UC proposes a 1% increase in funded enrollments to maintain momentum at the Merced campus and provide support for unfunded enrollments that currently exist on the general campuses and in health sciences programs.
- **New medical school at UC Riverside.** In October 2012, the Riverside campus received notice of preliminary accreditation for its new medical school. The inaugural class of 50 students for the medical school will enroll in Fall 2013. The University is requesting an additional \$15 million, above the amount proposed from the new multi-year agreement, to fund this critically important initiative. Building a new medical school, which will be a regional and statewide resource, cannot be sustained through a redirection of existing resources. It is critical that the State commit to this endeavor by providing core support for the school's academic program and basic operations.
- **Addressing continuing mandatory costs.** The 2013-14 budget plan includes funding for employer contributions to the UC Retirement Plan, employee and retiree health benefit costs, inflation increases in non-salary costs, and modest compensation increases to prevent further erosion of faculty and staff salaries.
- **Reinvestment in the University's core academic programs.** The plan includes the first component of a multi-year reinvestment in the quality of UC's core academic programs. This investment is focused on reducing the student-faculty ratio; increasing the competitiveness of faculty and staff salaries; enhancing graduate student support; expanding resources for core instructional support needs, such as instructional equipment and technology, libraries, and building maintenance; and addressing capital renewal of UC's aging facilities and campus infrastructure.

## 2013-14 BUDGET PROPOSAL

Display 1: 2013-14 Budget Proposal (Dollars in Millions) – REVISED

### CURRENT OPERATING BUDGET

State General Funds	\$2,378.1
Total Core Funds (State General Funds, Student Tuition and Fee Revenue, and UC General Funds)	\$6,207.9

### PROPOSED INCREASES IN REVENUE

#### State General Funds

2012-13 Tuition and Fee Buy-out	\$125.4
6% Base Budget Adjustment	150.2
UC Riverside Medical School	<u>15.0</u>
Subtotal	\$290.6

#### Additional State or Student Tuition & Fee Revenue

Additional State General Funds or Net Revenue from Tuition and Fee Increase*	\$126.5
--	---------

#### Professional Degree Supplemental Tuition

Increases range from 0% to 35%	\$20.8
--------------------------------	--------

#### UC General Funds

Nonresident Supplemental Tuition (related to new enrollment)	\$23.0
Indirect Cost Recovery	<u>3.4</u>
Subtotal	\$26.4

#### Alternative Revenues to Fund Reinvestment in Quality

Debt Restructuring	\$80.0
Asset Management (STIP to TRIP)	20.0
Systemwide Contracts	<u>20.0</u>
Subtotal	\$120.0

#### Alternative Revenues for Financial Aid

Other Sources	<u>\$20.0</u>
Subtotal	\$20.0

**TOTAL INCREASE IN REVENUE** **\$584.3**

### PROPOSED INCREASES IN EXPENDITURES

#### Enrollment Growth and Instructional Programs

1% Enrollment Costs (including 675 FTE students at UC Merced)	\$22.4
Professional School Programs	13.3
UC Riverside Medical School	<u>15.0</u>
Subtotal	\$50.7

#### Compensation and Non-Salary Items

Retirement Contributions	\$77.2
Employee Health Benefits	11.4
Annuitant Health Benefits	6.4
Academic Merit Increases	30.0
Compensation Increases	100.2
Continuation Costs of 2012-13 Mid-Year Compensation Increase	37.2
Non-salary Price Increases	23.7
Deferred Maintenance	<u>25.0</u>
Subtotal	\$311.1

Repayment of 2012-13 STIP Borrowing	<u>\$60.0</u>
Subtotal	\$60.0

#### Reinvestment in Quality (first year of a multi-year plan)

Reduce Student-Faculty Ratio	\$40.0
Support Start-up Costs for New Faculty	20.0
Reduce Faculty Salary Gap	25.0
Reduce Staff Salary Gap	20.0
Increase Graduate Student Support	15.0
Enhance Undergraduate Instructional Support	<u>35.0</u>
Subtotal	\$155.0

#### Financial Aid

Professional Degree Supplemental Tuition Increases	\$7.5
--	-------

**TOTAL INCREASE IN EXPENDITURES** **\$584.3**

\*If additional State General Funds are not made available in 2013-14, the budget plan will need to be revised to reflect a tuition and fee increase and return-to-aid.

## MESSAGE FROM THE PRESIDENT

For the last four years, the University of California has struggled through budgeting challenges rife with uncertainty. This year is no exception as the University labors under the specter of additional mid-year cuts in the current fiscal year if new State revenue streams do not materialize.

Given California's economic constraints, UC's proposed 2013-14 spending plan represents a realistic picture of what is needed to maintain the three hallmarks of this great public university system: access, affordability, and quality.

Despite the sharp declines in State support, UC has sought to preserve those three pillars of its public mission through aggressive financial strategies and operational efficiencies. Every part of the UC community has shared in the sacrifices needed to achieve those cost-saving goals. Every campus and the Office of the President have cut and consolidated programs, eliminated jobs, laid off staff, and across the board produced more with fewer and fewer resources. Most regrettably, UC students and their families now pay more of the cost of their education than the State contributes.

UC has now reached a point where quality is seriously at risk – and without renewed, stable State investment, the long traditions of innovation, exceptional teaching, and committed public service will suffer. Not just the University, but all of California, will feel the repercussions if that is allowed to happen.

UC's impact reaches far beyond the boundaries of its campuses. Every Californian benefits in some way from its health and medical breakthroughs, its technological and scientific discoveries, its creative endeavors, and its economic benefits.

While UC continues to pursue its own revenue-increasing strategies and cost efficiencies, State General Fund support remains a crucial part of its core budget. State funds not only provide support for academic programs and vital student services, they also help generate additional public and private funds from outside California.

UC will do its part, but the State of California needs to do its part as well. The University will continue to strive for excellence and efficiencies, affordability and accountability, and access and transparency. What UC needs from the State is a renewed commitment that this great institution of higher learning will be preserved, that years of prior investment will not be forsaken, and that the doors of opportunity will remain open wide for all Californians.

The University of California grew up with the state and for a century-and-a-half has served as a beacon of hope, an agent of transformation, and a true source of pride for all Californians. Without adequate and stable State reinvestment, the combination of educational quality, affordability, and access that sets UC apart from other public research universities will be jeopardized. UC needs the support of the Governor and Legislature to make sure that doesn't happen.

I look forward to working with both to ensure a sustainable future for the University.

Mark G. Yudof  
President  
October, 2012

## STUDENT TUITION AND FEES

Despite efficiencies achieved by UC in the delivery of education and research, in recent years student tuition and fees have increased significantly in order to maintain quality in the face of inadequate State support.

Student tuition and fees provide \$2.98 billion for the University's basic operations and student financial aid during 2012-13. Revenue from tuition and fees exceeded State support for the first time in 2011-12 and now, in 2012-13, students are paying 49% of the cost of education.

As previously noted, the University's 2013-14 budget plan assumes passage of the Governor's revenue-raising initiative in November and support from the Governor for a multi-year framework with the State that would result in a 6% base budget adjustment in 2013-14 for core operational support of the University's budget. In this context, at the November Board meeting the Regents are being asked to approve an increase of 6% (or \$732, from \$12,192 to \$12,924) in mandatory systemwide charges for 2013-14.

If the Governor's initiative does not pass in November, the University will revise its plan for 2013-14 in time for the November Board meeting and request a higher increase than the planned 6%. In addition, it is likely the University would seek to implement a mid-year Tuition increase for 2012-13 to help address the \$250 million trigger cut that would occur with failure of the initiative.

### **Mandatory Systemwide Tuition and Fees**

Mandatory systemwide tuition and fees consist of Tuition and the Student Services Fee. Tuition provides general support for the University's operating budget, including costs related to faculty and instructional support, libraries and other academic support, student services, institutional support, operation and maintenance of plant, and student financial support. In 2012-13, Tuition is \$11,220 for all students. The Student Services Fee funds services that are necessary to students, but not part of the University's programs of instruction, research, or public service. The majority of these funds is spent on student services. In 2012-13, the Student Services Fee is \$972 for all students.

### **Professional Degree Supplemental Tuition**

Professional Degree Supplemental Tuition provides UC's professional degree programs with supplemental funds to maintain quality – to recruit and retain excellent faculty, provide a top-notch curriculum, and attract high-caliber students – following significant budget cuts over the last two decades. Tuition levels vary by program and are based on an evaluation of program resources and needs, comparison institution fees, and affordability for students. In 2012-13, Professional Degree Supplemental Tuition varies by program from \$4,000 to \$38,548. The 2013-14 budget plan assumes increases of between 0% and 35% in Professional Degree Supplemental Tuition for various programs, totaling from \$0 to \$3,256.

### **Nonresident Supplemental Tuition**

In addition to mandatory systemwide tuition and fees, nonresident students pay Nonresident Supplemental Tuition in lieu of State support. Campuses use Nonresident Supplemental Tuition to support the instructional enterprise. For nonresident undergraduates, Nonresident Supplemental Tuition is \$22,878 during 2012-13. The supplemental charges for nonresident graduate academic and graduate professional students are \$15,102 and \$12,245, respectively. The 2013-14 budget plan assumes no increase in Nonresident Supplemental Tuition.

### **Total Charges and Comparison Institutions**

In addition to the charges described above, students also pay campus-based fees. Display 15 shows total charges for undergraduate and graduate academic students by residency status for 2012-13.

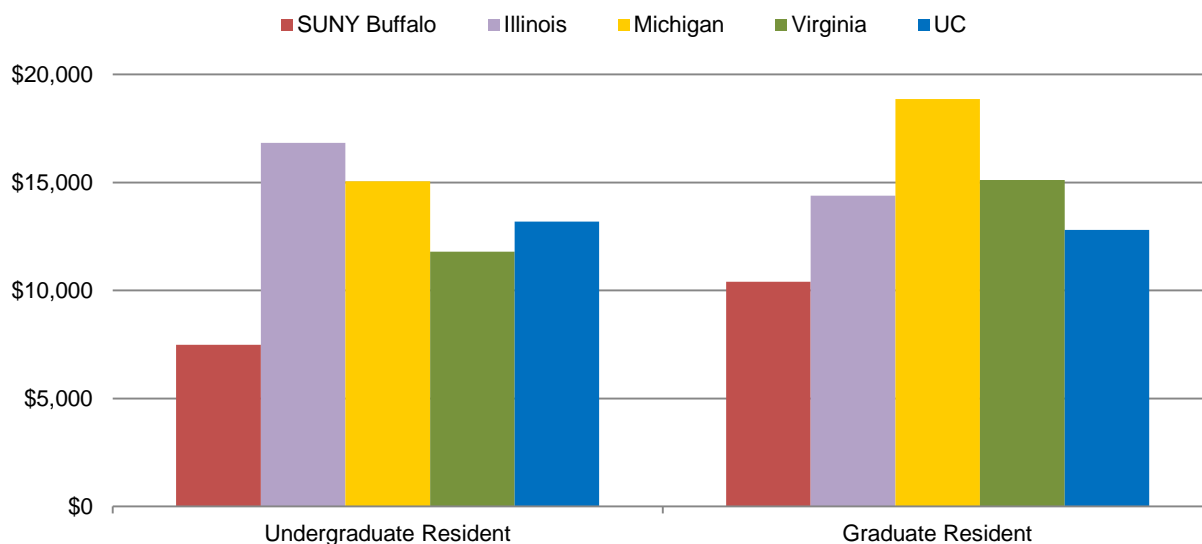
Despite recent fee increases, UC's in-state fees remain competitive with public comparison institutions for resident undergraduates and graduate academic students.

Display 15: 2012-13 Total Charges by Student Level and Residency Status<sup>2</sup>

	<u>Undergraduate Students</u>		<u>Graduate Academic Students</u>	
	<u>Resident</u>	<u>Nonresident</u>	<u>Resident</u>	<u>Nonresident</u>
Tuition	\$11,220	\$11,220	\$11,220	\$11,220
Student Services Fee	\$972	\$972	\$972	\$972
Subtotal, Mandatory Systemwide Charges	\$12,192	\$12,192	\$12,192	\$12,192
Nonresident Supplemental Tuition		\$22,878		\$15,102
Average Campus-based Fees	<u>\$1,008</u>	<u>\$1,008</u>	<u>\$616</u>	<u>\$616</u>
Average Total Charges	\$13,200	\$36,078	\$12,808	\$27,910

Note: During 2012-13, average fees for resident undergraduates remain below two of the University's four public comparison institutions, and average fees for resident graduate students remain below three of the four comparison institutions, as shown in Display 16.

Display 16: 2012-13 UC and Comparison Institution Tuition and Fees for In-State Students



In 2012-13, the University's average tuition and fees for California resident students remain below two of four public comparators for undergraduates and three of four comparators for graduate academic students.

Note: Comparison institution figures include tuition and required fees as reported on campus websites. UC figures include mandatory systemwide tuition and fees, and campus-based fees. Waivable health insurance fees are not included.

<sup>2</sup> Estimated 2012-13 and 2013-14 tuition, fees, and charges in this document assume passage of the Governor's revenue-raising initiative in November 2012 and are subject to change by the Regents.

## STUDENT FINANCIAL AID

Student financial aid from federal, State, UC, and private sources has helped UC maintain access and affordability at the undergraduate level while also attracting graduate students with exceptional potential.

The University's student financial aid programs, guided by policy adopted by the Regents in 1994, are closely linked to the University's goals of student accessibility and meeting the state's workforce needs. To mitigate the impact of tuition and fee increases, as well as increases in other educational expenses, the University has continued to use a portion of the new revenue derived from tuition and fee increases to support financial aid. Other sources of funds, including State funding for Cal Grants and federal and private funds, have helped UC meet its financial aid goals.

### Undergraduate Aid

At the undergraduate level, the goal is to ensure that UC remains financially accessible to all academically eligible students so that financial considerations are not an obstacle to student decisions to enroll at UC. During 2010-11, 62% of UC undergraduates received grant or scholarship aid, averaging \$14,715 per student. UC has become nationally recognized as a leader in enrolling an economically diverse pool of undergraduate students.

Despite tuition and fee increases, the University has remained accessible to undergraduate students from all income groups. Enrollments of low-income Pell Grant recipients at other research institutions range from about 10% to 30%. During 2010-11, 41% of UC undergraduates were Pell Grant recipients, more than at any other comparably selective research institution.

For many years, the enrollment of students from middle-income families also has remained relatively stable, at about 43% between 2000-01 and 2006-07, despite fee increases in most of those years. Since then the percentage has declined, to 37% in 2010-11, which may reflect a statewide decline in middle-income families attributable to the economic recession. The University is closely monitoring this trend, together with income trends among California families generally.

Financial aid also contributes greatly to the University's undergraduate ethnic diversity. African-American, Chicano/Latino, and Asian American students are disproportionately low-income. Collectively, these students receive 68% of all undergraduate gift assistance. For all of these reasons, maintaining a robust financial aid program remains a top University budget priority.

In recent years, several significant factors have helped UC maintain affordability for undergraduates:

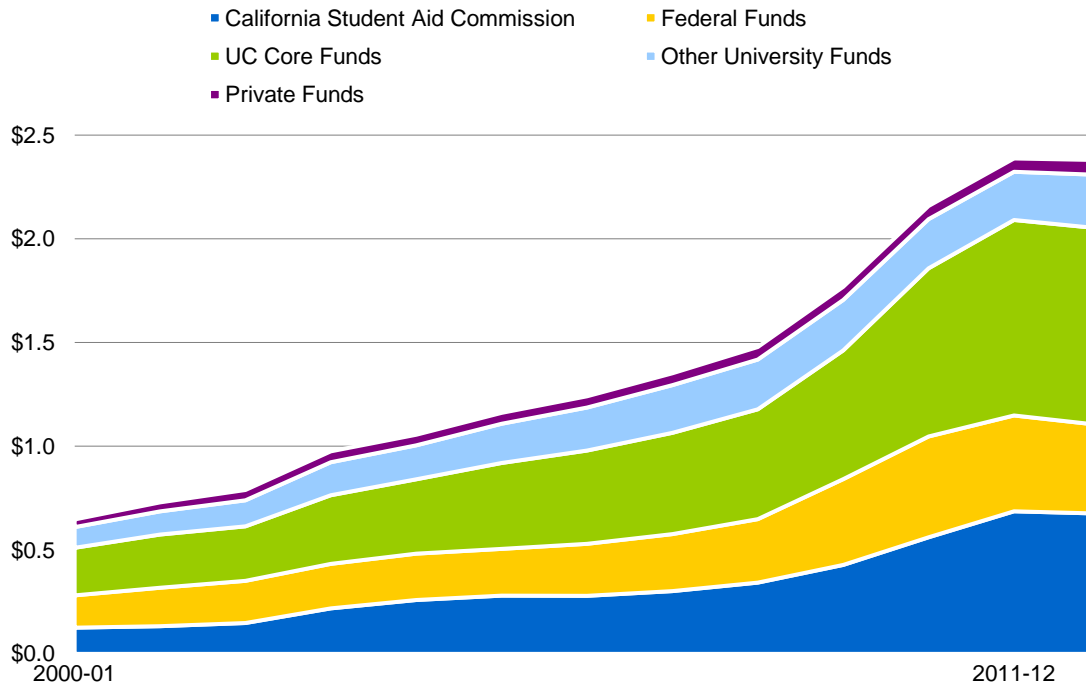
- increases in the maximum federal Pell Grant;
- full funding of the State's Cal Grant program;
- continuation of UC's 33% return-to-aid policy;
- development and expansion of the Blue and Gold Opportunity Plan, which in 2012-13 ensures that all eligible students with household incomes below \$80,000 receive gift aid covering systemwide tuition and fees up to their need level;
- expansion of middle-income fee grants, covering one-half of tuition and fee increases for needy middle-income students, to students from families earning up to \$120,000; and
- temporary expansion of federal education tax credits.

As a result of these and other ongoing programs, financial support generally has covered systemwide fee increases for Cal Grant and UC Grant recipients in recent years and is providing some coverage of other cost increases as well.

In 2013-14, the University proposes to set aside the equivalent of 33% of new undergraduate fee revenue for financial aid. In addition, the University will continue to ensure that tuition and fee increases do not deter talented, low-income students from aspiring to attend UC by fully funding the Blue and Gold Opportunity Plan, which provides full coverage of mandatory systemwide tuition and fees for eligible resident undergraduates with family incomes up to \$80,000 (up to a student's need) and provide assistance to financially needy middle-income families.

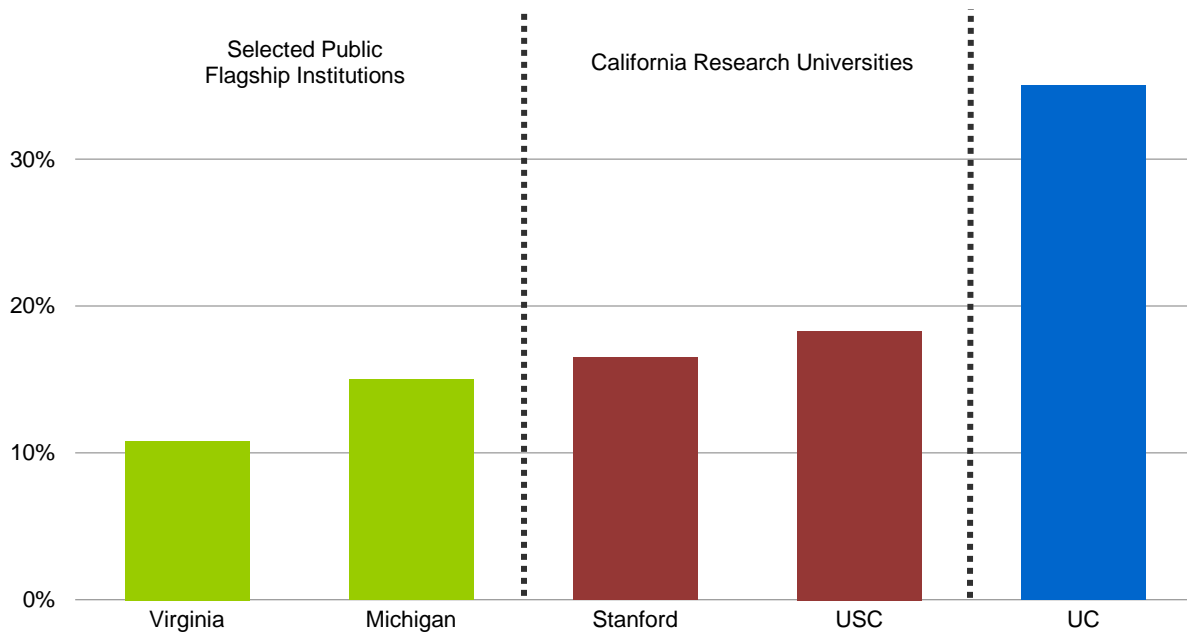


Display 17: Total Gift Aid by Source (Dollars in Billions)



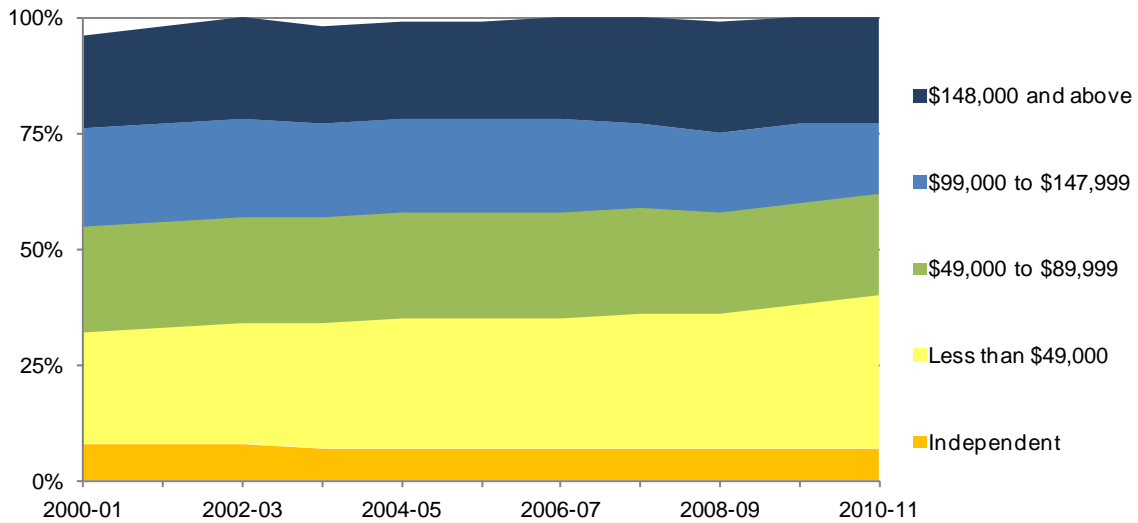
To offset tuition and fee increases and maintain the promise of higher education for all Californians, both the University and the State have invested heavily in student financial support. During 2012-13, total gift aid is projected to reach nearly \$2.38 billion, over half of which is generated from UC sources.

Display 18: 2010-11 Undergraduate Pell Grant Recipients



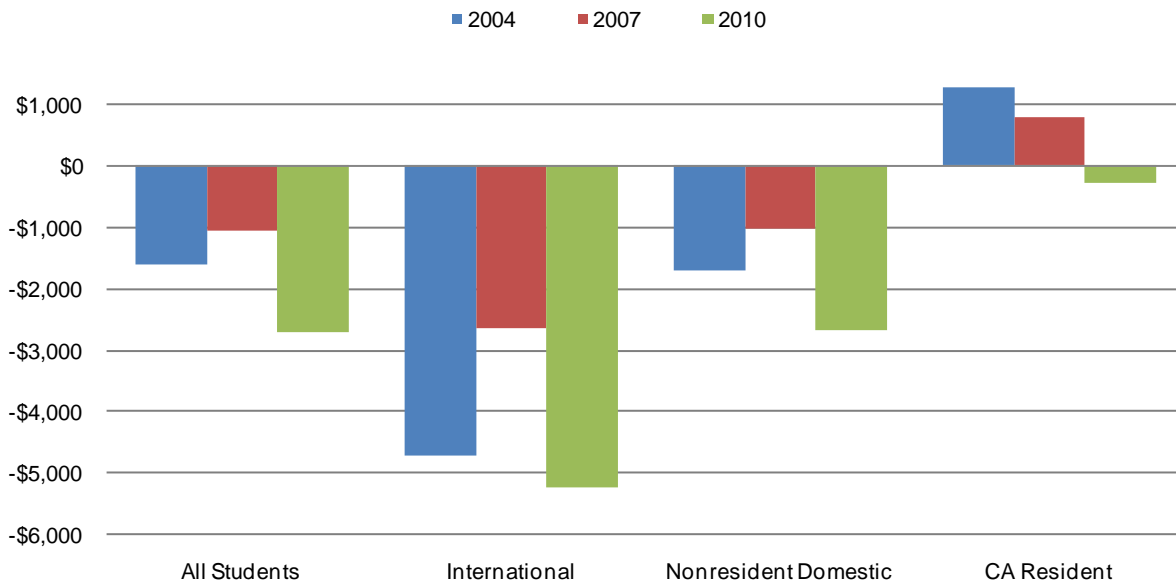
UC remains accessible for students from low-income families. UC has a very high proportion of federal Pell Grant recipients – 41% during 2010-11, which was more than at any comparable public or private institution.

Display 19: Undergraduate Enrollment by Family Income (2010-11 Dollars)



The percentage of middle-income students enrolled at the University remained relatively stable, at around 43% between 2000-01 and 2006-07, despite tuition and fee increases in most of those years. Since then the percentage has declined, to 37% in 2010-11, which may reflect a decline in middle-income families statewide attributable to the economic recession.

Display 20: Competitiveness of UC Financial Support Offers to Academic Doctoral Students



For academic doctoral students, UC narrowed the gap between its financial support offers and those of competing institutions between 2004 and 2007, but lost ground between 2007 and 2010.

During 2012-13, the University intends to develop options for a long-term financial aid strategy to achieve specific goals that reflect an ongoing commitment to access and affordability. The strategy will be paired with a flexible and realistic funding plan that will include a variety of sources, including not only tuition and fee revenue and State General Funds, but also proceeds from enhanced fundraising efforts and local campus funds.

### **Graduate Aid**

At the graduate level, the Regents' financial aid policy calls upon the University to attract a diverse pool of highly qualified students by providing a competitive level of support relative to the cost of other institutions. This competitive context reflects the fact that graduate student enrollment is tied most directly to the University's research mission and helps the state meet its academic and professional workforce needs. Graduate awards must be sized not only to make the University accessible, but also to be competitive with awards prospective students receive from other institutions.

Graduate academic students received support from fellowships, grants, and assistantships averaging about \$31,200 per student during 2010-11. However, in recent years, the financial aid packages awarded by UC fell short of the packages offered by competing institutions. While UC narrowed the gap between its offers and those of competing institutions by more than \$500 between 2004 and 2007, findings from 2010 suggest UC is again losing ground to its competitors. To help mitigate this problem, UC returns 50% of any new graduate academic tuition and fee revenue to students in the form of financial aid.

For graduate professional students, UC ensures that an amount equivalent to 33% of tuition and fee increases is returned to students as financial aid. Even so, about two-thirds of aid awarded to graduate professional students is in the form of loans, primarily from federal loan programs. The University provides loan repayment assistance programs in certain disciplines, and since 2009-10, students may avail themselves of an Income-Based Repayment plan for federal student loans.

**UC STUDENT SERVICES FEE & UCR STUDENT SERVICES FEE  
ASSUMPTIONS INCLUDED IN THE FORECASTS ON TABLE 3, 4, & 5**

- \* Enrollment Projections are based on the November 20, 2012 "General Campus Headcount Enrollments - Actual and Planned" from the Department of Strategic Academic Research and Analysis
- \* No UC Student Services Fee increases
- \* Merit/Range increases of 3% annually
- \* Benefit employer cost increases of 7% in FY2012-13 and 5% in the following fiscal years
- \* Retirement employer cost increases to 10.63% in FY2012-13, 12.65% in FY2013-14, 15% in FY2014-15, 16.8% in FY2015-16, and finally 19.1% in FY2016-17

TABLE 1

JULY 1, 2012 PERMANENT OPERATING BUDGETS			
<i>Units</i>	<i>UC Student Services Fee</i>	<i>UCR Student Services Fee</i>	<i>Combined</i>
<b>Undergraduate Education</b>			
Learning Center	1,369,207	-	1,369,207
<b>Subtotal</b>	<b>\$ 1,369,207</b>	<b>\$ -</b>	<b>\$ 1,369,207</b>
<b>Vice Chancellor Student Affairs</b>			
African Student Programs	140,662	22,389	163,051
Asian Pacific Student Program	178,916	28,385	207,301
AVC/Dean of Students	398,501	-	398,501
Bayless Foundation	500	-	500
Campus Activities & Events	20,300	-	20,300
Campus Health Center	1,339,241	5,156	1,344,397
Career Services Center	960,813	8,000	968,813
Chicano Student Programs	159,736	-	159,736
Child Development Center	332,818	-	332,818
Counseling Center	932,453	27,242	959,695
Cultural Events	137,640	-	137,640
Disabled Student Services	402,911	19,295	422,206
Diversity Initiatives	85,962	-	85,962
Escort Service	4,826	9,175	14,001
Financial Aid Administration	640,703	-	640,703
Graduate Student Association	37,994	-	37,994
Health Education Initiatives	71,357	-	71,357
HUB - Commons Referendum	140,476	-	140,476
International Education Center	340,439	-	340,439
Intramural Sports	117,026	-	117,026
KUCR	205,230	5,721	210,951
LGBT Resource Center	117,031	27,587	144,618
Medicine Ways	3,000	-	3,000
Mental Health Initiatives	124,006	-	124,006
Native American Student Program	124,259	-	124,259
Peer Initiatives	61,362	-	61,362
Publications	65,711	-	65,711
R.E.A.C.H.	5,086	500	5,586
Registration Fee Advisory Comm Admin	33,885	-	33,885
Student Affairs Communications	327,190	-	327,190
Student Affairs Research&Eval	102,184	-	102,184
Student Conduct Programs	233,072	-	233,072
Student Life & Leadership Center	348,021	12,927	360,948
Student Org Development & Mgmt	12,500	-	12,500
Student Rec Center Referendum	145,000	5,000	150,000
Student Special Services	223,264	-	223,264
Technology Services	486,373	-	486,373
The WELL	124,323	-	124,323
Transfer Orientation & Services	9,889	10,748	20,637
University Band	33,055	-	33,055
VCSA Office	200,958	-	200,958
Women's Resource Center	112,567	45,291	157,858
VCSA Employee Benefits & Turnover Savings	3,191,016	43,789	3,234,805
<b>Subtotal</b>	<b>\$ 12,732,256</b>	<b>\$ 271,205</b>	<b>\$ 13,003,461</b>
<b>UCR Intercollegiate Athletics</b>			
Intercollegiate Athletics - Admin	501,216	-	501,216
Intercollegiate Athletics - Teams	905,395	-	905,395
<b>Subtotal</b>	<b>\$ 1,406,611</b>	<b>\$ -</b>	<b>\$ 1,406,611</b>
<b>Total General Campus Departments</b>	<b>\$ 15,508,074</b>	<b>\$ 271,205</b>	<b>\$ 15,779,279</b>

**TABLE 1**

<b>JULY 1, 2012 PERMANENT OPERATING BUDGETS</b>			
<i>Units</i>	<i>UC Student Services Fee</i>	<i>UCR Student Services Fee</i>	<i>Combined</i>
<b>Central Campus</b>			
REG Fee OMP	125,835	-	125,835
Financial System Assessment	27,720	-	27,720
Graduate Student Financial Aid	78,908	-	78,908
Undergrad Student Financial Aid	449,314	-	449,314
UCOP Funding Assessment	446,321	3,800	450,121
UCDC Funding	17,380		
Unalloc. Salary, Benefits, Retirement	929,678	7,453	937,130
<b>Total Central Campus</b>	<b>\$ 2,075,156</b>	<b>\$ 11,253</b>	<b>\$ 2,069,028</b>
<b>Grand Total</b>	<b>\$ 17,583,230</b>	<b>\$ 282,458</b>	<b>\$ 17,848,307</b>

TABLE 2

**UC STUDENT SERVICES FEE & UCR STUDENT SERVICES FEE  
FISCAL YEAR 2011-12 TOTAL UNIT CLOSING FUND BALANCES**

<i>Units</i>	<i>UC Student Services Fee</i>	<i>UCR Student Services Fee</i>	<i>Combined</i>	<i>Percent of 7/1/12 Perm Budget</i>
<b>Undergraduate Education</b>				
Learning Center	504,361		504,361	36.8%
<b>Subtotal</b>	\$ 504,361	\$ -	\$ 504,361	36.8%
<b>Vice Chancellor Student Affairs</b>				
African Student Programs	(3,592)	(0)	(3,592)	-2.2%
Asian Pacific Student Program	(9,520)	3,390	(6,131)	-3.0%
AVC/Dean of Students	(38,349)		(38,349)	-9.6%
Bayless Foundation	3,355		3,355	671.0%
Campus Activities & Events	112		112	0.6%
Campus Health Center	(2,215)	(669)	(2,884)	-0.2%
Career Services Center	53,201		53,201	5.5%
Chicano Student Programs	(11,485)		(11,485)	-7.2%
Child Development Center	3,530	6,592	10,122	3.0%
Counseling Center	(52,051)	(2,555)	(54,606)	-5.7%
Cultural Events	(5,916)		(5,916)	-4.3%
Disabled Student Services	1,397	275	1,672	0.4%
Diversity Initiatives	3,052		3,052	3.6%
Escort Service	-		-	0.0%
Financial Aid Administration	(17,123)		(17,123)	-2.7%
Graduate Student Association	5,263		5,263	13.9%
Graduate Student Assoc TCG	7		7	0.0%
Health Education Initiatives	(1,055)		(1,055)	-1.5%
International Education Center	(13,539)		(13,539)	-4.0%
Intramural Sports	21,669		21,669	18.5%
KUCR	(7,993)		(7,993)	-3.8%
LGBT Resource Center	(11,566)	394	(11,172)	-7.7%
Mental Health Initiatives	(6,563)		(6,563)	-5.3%
Native American Student Program	(1,075)		(1,075)	-0.9%
Outdoor Programs			-	n/a
Peer Initiatives	(5,734)		(5,734)	-9.3%
Publications	(1,720)		(1,720)	-2.6%
R.E.A.C.H.	(1,316)		(1,316)	-23.6%
Registrar	(4,406)		(4,406)	
Student Affairs Communications	(14,125)		(14,125)	-4.3%
Student Affairs Research&Eval	(6,559)		(6,559)	-6.4%
Student Conduct Programs	(6,942)		(6,942)	-3.0%
Student Life & Leadership Center	(9,579)	1,259	(8,320)	-2.3%
Student Org Development & Mgmt		2,957	2,957	23.7%
Student Special Services	(4,555)		(4,555)	-2.0%
Technology Services	25,197		25,197	5.2%
The WELL	(9,371)		(9,371)	-7.5%
Transfer Orientation & Services	-	-	-	0.0%
University Band	(1,377)		(1,377)	-4.2%
VCSA Office	118,437		118,437	58.9%
Women's Resource Center	4,935	125	5,060	3.2%
VCSA Employee Benefits & Turnover Savings	2,316,703	308,260	2,624,963	81.1%
<b>Subtotal</b>	\$ 2,309,134	\$ 320,027	\$ 2,629,160	20.2%
<b>UCR Intercollegiate Athletics</b>				
Intercollegiate Athletics - Admin	(27,322)	-	(27,322)	-5.5%
Intercollegiate Athletics - Teams	665	-	665	0.1%
<b>Subtotal</b>	\$ (26,657)	\$ -	\$ (26,657)	-1.9%
<b>Total Unit Closing Balance</b>	<b>\$ 2,786,838</b>	<b>\$ 320,027</b>	<b>\$ 3,106,865</b>	<b>19.7%</b>

NOTE: CFD Balances may include future commitments and liabilities such as vacation accrual

TABLE 3

UC STUDENT SERVICES FEE (FUND 20000)						
PROJECTED EXPENDITURES & REVENUE (FISCAL YEARS 2012-13 TO 2016-17)						
Description	Projected 2012-13	Projected 2013-14	Projected 2014-15	Projected 2015-16	Projected 2016-17	
Projected New and Continuing Headcount Enrollment (3 Qtr Avg)	20,006	20,207	20,611	21,131	21,733	
Projected Exemptions	(410)	(414)	(423)	(433)	(446)	
Projected Net Paid Enrollment	19,596	19,793	20,188	20,698	21,287	
Student Services Fee	\$911	\$911	\$911	\$911	\$911	
Student Services Fee - Mental Health	\$61	\$61	\$61	\$61	\$61	
Total Student Services Fee	\$972	\$972	\$972	\$972	\$972	
<b>PROJECTED REVENUE</b>						
Projected UC Student Services Fee Income (FWS)	17,851,844	18,031,201	18,391,700	18,855,709.01	19,392,888	
Projected UC Student Services Fee Income (Summer)	1,248,676	1,295,608	1,295,608	1,295,608	1,295,608	
Projected Student Services Fee Subtotal	19,100,520	19,326,809	19,687,308	20,151,317	20,688,496	
Projected UC SS Fee Mental Health Income (FWS)	1,195,348	1,207,358	1,231,497	1,262,567	1,298,536	
Projected UC SS Fee Mental Health Income (Summer)	86,753	86,753	86,753	86,753	86,753	
Projected SS Fee - Mental Health Subtotal	1,282,102	1,294,111	1,318,250	1,349,320	1,385,289	
STIP Earnings/Deferred Payment Plan	225,341	202,807	152,105	136,895	123,205	
<b>TOTAL PROJECTED REVENUE</b>	<b>\$ 20,607,962</b>	<b>\$ 20,823,727</b>	<b>\$ 21,157,663</b>	<b>\$ 21,637,531</b>	<b>\$ 22,196,990</b>	
<b>PROJECTED EXPENDITURES</b>						
Base Budget	15,267,698	16,060,507	16,940,397	17,750,679	18,531,634	
Temp, Ongoing Allocations (approved for FY11-12 thru FY13-14)	600,000	-	-	-	-	
Contribution to Capital Reserves	100,000	100,000	100,000	100,000	100,000	
Financial Aid	528,222	532,421	544,437	560,148	578,402	
Cost Adjustments - Salaries, Benefits, Retirement	792,809	879,890	810,283	780,954	871,292	
UCOP Funding Assessment	380,779	399,818	419,809	440,799	462,839	
<b>TOTAL PROJECTED EXPENDITURES</b>	<b>\$ 17,669,508</b>	<b>\$ 17,972,636</b>	<b>\$ 18,814,925</b>	<b>\$ 19,632,581</b>	<b>\$ 20,544,167</b>	
<b>PROJECTED OMP COSTS</b>						
The HUB Building OMP charges	125,835	279,627	293,608	308,288	323,703	
Academic Resource Center (Surge) OMP charges	39,483	40,667	41,888	43,144	44,438	
Campus Health Center (Veitch) OMP charges	53,676	55,286	56,945	58,653	60,413	
<b>TOTAL PROJECTED OMP COSTS</b>	<b>\$ 218,994</b>	<b>\$ 375,580</b>	<b>\$ 392,440</b>	<b>\$ 410,086</b>	<b>\$ 428,554</b>	
<b>PROJECTED CAPITAL EXPENDITURES</b>						
Learning Center Debt Service	206,744	206,764	206,794	206,540	204,793	
Recreation Center Debt Service	145,000	145,000	145,000	145,000	145,000	
Existing Commons Expansion Debt Service	140,476	140,476	140,476	140,476	140,476	
** Commons Expansion Debt Service/Temp Repayment from Referendum	392,103	392,268	392,185	391,855	391,828	
<b>TOTAL PROJECTED CAPITAL EXP</b>	<b>\$ 884,323</b>	<b>\$ 884,508</b>	<b>\$ 884,455</b>	<b>\$ 883,871</b>	<b>\$ 882,097</b>	
<b>PROJECTED TOTAL ANNUAL EXPENSES</b>	<b>\$ 18,772,825</b>	<b>\$ 19,232,723</b>	<b>\$ 20,091,820</b>	<b>\$ 20,926,537</b>	<b>\$ 21,854,818</b>	
<b>PROJECTED ANNUAL BALANCE</b>	<b>\$ 1,835,138</b>	<b>\$ 1,591,004</b>	<b>\$ 1,065,843</b>	<b>\$ 710,994</b>	<b>\$ 342,173</b>	
Debt Service Coverage (x.25) (To Reserves)	221,081	221,127	221,114	220,968	220,524	
Net in Mental Health Reserve (See Table 5)	153,660	114,270	82,110	80,506	77,878	
<b>NET BALANCE AVAILABLE</b>	<b>\$ 1,460,396</b>	<b>\$ 1,255,606</b>	<b>\$ 762,619</b>	<b>\$ 409,520</b>	<b>\$ 43,770</b>	

Based on SARA's Campus Headcount Enrollments - Actual and Planned as of November 20, 2012

\*\* Note: The UC Student Svcs Fee portion of Commons Expansion debt will be paid by the Commons Referendum Fee as repayment of a \$2.5M loan to the capital project from the UC Student Svcs Fee capital reserves. As payments are made by the Referendum, an equal amount will be transferred at year-end from the UC Student Svcs Fee net operating balance (if available) to replenish UC Student Svcs Fee capital reserves.



TABLE 4

UC STUDENT SERVICES FEE (FUND 20000) - MENTAL HEALTH DETAIL

ACTUAL & PROJECTED EXPENDITURES & REVENUES

(Stated Mental Health Detail is included in aggregate on Table 3)

Description	Actual 2010-11	Actual 2011-12	Projected 2012-13	Projected 2013-14	Projected 2014-15	Projected 2015-16	Projected 2016-17
New and Continuing Headcount Enrollment (3 Qtr Avg)	19,882	20,012	20,006	20,207	20,611	21,131	21,733
Projected Exemptions	537	334	410	414	423	433	446
Projected Net Paid Enrollment	19,345	19,678	19,596	19,793	20,188	20,698	21,287
<i>Registration Fee - Mental Health</i>	\$61	\$61	\$61	\$61	\$61	\$61	\$61
<b>Projected Revenue</b>							
Projected Student Services Fee - Mental Health (FWS)	1,180,057	1,200,368	1,195,348	1,207,358	1,231,497	1,262,567	1,298,536
Projected Student Services Fee - Mental Health (Summer)	68,755	83,939	86,753	86,753	86,753	86,753	86,753
<b>Total Projected Revenue</b>	<b>\$ 1,248,812</b>	<b>\$ 1,284,308</b>	<b>\$ 1,282,102</b>	<b>\$ 1,294,111</b>	<b>\$ 1,318,250</b>	<b>\$ 1,349,320</b>	<b>\$ 1,385,289</b>
<b>Projected Expenditures</b>							
Base Budget	1,063,730	1,038,615	1,055,294	1,128,441	1,179,841	1,236,140	1,268,813
Cost Adjustments - Salaries, Benefits, Retirement	42,937	16,679	73,147	51,400	56,299	32,673	38,597
<b>Total Projected Expenditures</b>	<b>\$ 1,106,667</b>	<b>\$ 1,055,294</b>	<b>\$ 1,128,441</b>	<b>\$ 1,179,841</b>	<b>\$ 1,236,140</b>	<b>\$ 1,268,813</b>	<b>\$ 1,307,411</b>
<b>Net Balance Available</b>	<b>\$ 142,145</b>	<b>\$ 229,014</b>	<b>\$ 153,660</b>	<b>\$ 114,270</b>	<b>\$ 82,110</b>	<b>\$ 80,506</b>	<b>\$ 77,878</b>
Temp Allocations*	459,344	0					
<b>Net to Mental Health Reserve (See Table 3)</b>	<b>\$ (317,199)</b>	<b>\$ 229,014</b>	<b>\$ 153,660</b>	<b>\$ 114,270</b>	<b>\$ 82,110</b>	<b>\$ 80,506</b>	<b>\$ 77,878</b>
<b>Cumulative Mental Health Reserve</b>	<b>\$ (230,962)</b>	<b>\$ (1,949)</b>	<b>\$ 151,712</b>	<b>\$ 265,982</b>	<b>\$ 348,092</b>	<b>\$ 428,599</b>	<b>\$ 506,477</b>

\*Excess Allocations are from Mental Health Reserves or from regular Student Services Fee resources

TABLE 5

UCR STUDENT SERVICES FEE (FUND 20027)  
 PROJECTED EXPENDITURES & REVENUE (FISCAL YEARS 2012-13 TO 2016-17)

Description	Projected 2012-13	Projected 2013-14	Projected 2014-15	Projected 2015-16	Projected 2016-17
<b>PROJECTED REVENUE</b>					
Projected New and Continuing Headcount Enrollment (3 Qtr Avg)	20,006	20,207	20,611	21,131	21,733
Projected Referendum Income (FWS)	\$ 360,108	\$ 363,726	\$ 370,998	\$ 380,358	\$ 391,194
Projected Summer Income	29,700	29,700	29,700	29,700	29,700
STIP	16,500	16,500	16,500	16,500	16,500
<b>TOTAL PROJECTED REVENUE</b>	<b>\$ 406,308</b>	<b>\$ 409,926</b>	<b>\$ 417,198</b>	<b>\$ 426,558</b>	<b>\$ 437,394</b>
<b>PROJECTED EXPENDITURES</b>					
Base Budget	\$ 266,205	\$ 273,658	\$ 280,069	\$ 287,073	\$ 293,769
Recreation Center Referendum	5,000	5,000	5,000	5,000	5,000
Contribution to Central Reserves	-	-	-	-	-
Cost Adjustments - Salaries, Benefits, Retirement	7,453	6,411	7,004	6,696	7,498
UCOP Funding Assessment (New in FY11-12)	3,800	4,180	4,598	5,058	5,311
Adjusted Base Budget	282,458	289,249	296,671	303,827	311,578
<b>TOTAL PROJECTED EXPENDITURES</b>	<b>\$ 282,458</b>	<b>\$ 289,249</b>	<b>\$ 296,671</b>	<b>\$ 303,827</b>	<b>\$ 311,578</b>
<b>PROJECTED BALANCE</b>	<b>\$ 123,850</b>	<b>\$ 120,677</b>	<b>\$ 120,527</b>	<b>\$ 122,731</b>	<b>\$ 125,816</b>

Based on SARA's Campus Headcount Enrollments - Actual and Planned as of November 20, 2012

TABLE 6

UC STUDENT SERVICES FEE & UCR STUDENT SERVICES FEE  
FUND PERFORMANCE (FISCAL YEARS 2007-08 TO 2011-12)

Description	Actual 2007-08	Actual 2008-09	Actual 2009-10	Actual 2010-11	Actual 2011-12
<b>UC STUDENT SERVICES FEE</b>					
Actual Revenue	13,458,475	15,523,680	17,411,306	18,495,763	20,462,611
STIP	179,017	210,455	522,667	294,270	321,842
<b>Subtotal</b>	<b>\$13,637,492</b>	<b>\$15,734,135</b>	<b>\$17,933,973</b>	<b>\$18,790,033</b>	<b>\$20,784,454</b>
July 1 Budget	11,118,480	11,873,765	12,373,901	13,502,162	14,712,573
Transfer to Core Programs	0	0	818,746	0	1,123,988
One-Time Funding in Enrollment Management					570,000
Salary/Employee Benefits & Insurance Adjustments	313,335	0	1,074	252,757	153,624
UCOP Funding Assessment - includes Student Referendum Charges					327,448
Actual SS Fee OMP Costs	166,387	230,746	251,052	286,482	301,955
Actual Debt Service for SURGE Building (Academic Resource Center)	207,531	208,023	177,920	180,949	193,779
Actual Debt Service for Commons Expansion (Return to Cap Resv)	n/a	0	265,025	391,826	391,690
Actual Debt Service Set-Aside to Capital Reserve	123,620	166,873	189,563	221,037	220,897
Contributions to Capital Reserve	100,000	100,000	100,000	100,000	100,000
Change to Backstop Funding	-9,000	0	33,025	52,859	24,257
Hold in Operating Reserve for Approved One-Time Allocations	525,723	385,439	414,424	1,228,694	2,130,031
Hold in Operating Reserve for Mental Health Allocations	377,335	845,390	481,201	142,145	229,014
<b>Subtotal</b>	<b>\$12,932,411</b>	<b>\$13,810,235</b>	<b>\$15,105,931</b>	<b>\$16,358,911</b>	<b>\$20,479,256</b>
<b>Net to Capital Reserve</b>	<b>\$705,081</b>	<b>\$1,923,900</b>	<b>\$2,828,042</b>	<b>\$2,371,122</b>	<b>\$245,198</b>
<b>UCR STUDENT SERVICES FEE</b>					
Actual Revenue	334,897	355,693	364,815	388,837	393,975
STIP	23,121	21,358	17,925	20,374	19,202
<b>Subtotal</b>	<b>\$358,018</b>	<b>\$377,052</b>	<b>\$382,740</b>	<b>\$409,211</b>	<b>\$413,177</b>
July 1 Budget	240,020	241,925	241,925	241,925	248,968
Salary/Employee Benefits & Insurance Adjustments	9,227	19,587	15,527	4,018	22,236
UCOP Funding Assessment					3,440
<b>Subtotal</b>	<b>\$249,247</b>	<b>\$261,512</b>	<b>\$257,452</b>	<b>\$245,943</b>	<b>\$274,644</b>
<b>Net to Operating Reserve</b>	<b>\$108,771</b>	<b>\$115,540</b>	<b>\$125,288</b>	<b>\$163,268</b>	<b>\$138,533</b>
<b>Grand Total to Operating/Capital Reserve</b>	<b>\$1,339,575</b>	<b>\$2,424,878</b>	<b>\$3,367,754</b>	<b>\$2,534,390</b>	<b>\$383,731</b>

TABLE 7

**UC STUDENT SERVICES FEE & UCR STUDENT SERVICES FEE  
PROJECTED OPERATING & CAPITAL RESERVES (FISCAL YEARS 2011-12 TO 2016-17)**  
(Projected fiscal years do not reflect non-mandatory transfers from the Operating/Current Fund)

Description	Actual 2011-12	Projected 2012-13	Projected 2013-14	Projected 2014-15	Projected 2015-16	Projected 2016-17
<b>OPERATING RESERVES</b>						
<b>UC Student Services Fee</b>						
Beginning Balance	\$1,407,494	\$2,308,831	\$178,800	\$178,800	\$178,800	\$178,800
Transfers (To)/From Current Fund	2,130,031					
Temporary Operating Allocations	(1,228,694)	(2,130,031)				
<b>Total UC SS Fee Operating Reserves</b>	<b>\$2,308,831</b>	<b>\$178,800</b>	<b>\$178,800</b>	<b>\$178,800</b>	<b>\$178,800</b>	<b>\$178,800</b>
<b>UC Student Services Fee - Mental Health Funds</b>						
Beginning Balance	(\$230,962)	(\$1,949)	(\$1,949)	(\$1,949)	(\$1,949)	(\$1,949)
Transfers (To)/From Current Fund	229,014					
Temporary Operating Allocations	0	0				
<b>Total UC SS Fee - SMH Operating Reserves</b>	<b>(\$1,949)</b>	<b>(\$1,949)</b>	<b>(\$1,949)</b>	<b>(\$1,949)</b>	<b>(\$1,949)</b>	<b>(\$1,949)</b>
<b>UCR Student Services Fee</b>						
Beginning Balance	\$632,273	\$379,100	\$309,695	\$309,695	\$309,695	\$309,695
Transfers (To)/From Current Fund	138,533					
Temporary Operating Allocations	(391,706)	(69,405)				
<b>Total UCR SS Fee Operating Reserves</b>	<b>\$379,100</b>	<b>\$309,695</b>	<b>\$309,695</b>	<b>\$309,695</b>	<b>\$309,695</b>	<b>\$309,695</b>
<b>TOTAL OPERATING RESERVES</b>	<b>\$2,685,983</b>	<b>\$486,547</b>	<b>\$486,547</b>	<b>\$486,547</b>	<b>\$486,547</b>	<b>\$486,547</b>
<b>CAPITAL RESERVES - CURRENT FUND (SEE TABLE 7a)</b>						
<b>UC Student Services Fee</b>						
Beginning Balance	\$10,758,536	\$5,700,391	\$1,913,574	\$2,626,969	\$3,340,268	\$4,053,091
Commons Expansion <sup>(1)</sup>	391,690	392,103	392,268	392,185	391,855	161,183
Transfers to Capital Reserve from Current Fund	100,000	100,000	100,000	100,000	100,000	100,000
Debt Service Coverage (x.25)	220,897	221,081	221,127	221,114	220,968	220,524
Transfers from Year-end Balances	245,198					
Upper Mall Renovation	(15,930)	(4,500,000)				
Veitch Renovation	(6,000,000)					
<b>Total Capital Reserves - Current</b>	<b>\$5,700,391</b>	<b>\$1,913,574</b>	<b>\$2,626,969</b>	<b>\$3,340,268</b>	<b>\$4,053,091</b>	<b>\$4,534,798</b>
<b>CAPITAL RESERVES - PLANT FUND (SEE TABLE 7a)</b>						
Beginning Balance	\$ -	\$6,000,000	\$6,000,000	\$6,000,000	\$6,000,000	\$6,000,000
Upper Mall Renovation						
Veitch Renovation	6,000,000					
<b>Total Capital Reserves - Plant</b>	<b>\$6,000,000</b>	<b>\$6,000,000</b>	<b>\$6,000,000</b>	<b>\$6,000,000</b>	<b>\$6,000,000</b>	<b>\$6,000,000</b>
<b>TOTAL ALL RESERVES</b>	<b>\$14,386,374</b>	<b>\$8,400,121</b>	<b>\$9,113,516</b>	<b>\$9,826,815</b>	<b>\$10,539,638</b>	<b>\$11,021,345</b>

<sup>(1)</sup> The 05-06 REAC recommended a \$2,500,000 temporary loan to the Commons Expansion capital project. This loan is to be repaid by the Commons Referendum fee with interest over time by temporarily taking over the UC Student Services Fee's share of the project's annual debt service payment. UC Student Services Fee's payments are estimated to begin in FY16-17.

**TABLE 7a**

**UC STUDENT SERVICES FEE  
PLANNED CAPITAL EXPENDITURES (FISCAL YEARS 2012-13 TO 2016-17)**

<i>Description</i>	<i>Projected Cost</i>
Commons - Upper Mall Redevelopment including the relocation and tenant improvements for Career Services	\$4.5 Million
Vietch Building Redesign and Remodel to expand the facilities of the Student Health Center and the Student Counseling Office	\$30 Million
<b>Total Planned Capital Expenditures</b>	<b>\$34.5 Million</b>